

Tab 2

STATE BUDGET DIVISION
Request for Other Funds Authorization

AGENCY CODE: E28

AMOUNT REQUESTED: \$180,000

AGENCY NAME: State Election Commission

Please complete the following:

1. Description of Request:

The State Election Commission (SEC) requests authority to spend candidate filing fee funds received from the South Carolina Republican Party. The funds will be used to assist in conducting the 2012 Republican Presidential Preference Primary (PPP).

2. Justification: (Why is additional authorization needed? What will be paid for with authorization? Explain the source of funding. Attach appropriate documentation.)

The SEC received \$180,000 in filing fees from candidates who have filed to run in the 2012 PPP. We need authorization to spend the funds. Normal election expenses such as poll manager pay, ballot costs, legal notices, supplies, etc will be paid with the funds.

3. Is all or part of the expenditure authorization supported by actual cash received and brought forward from the previous fiscal year? If yes, what is the funding source?

YES _____ NO X

Funding Source: _____

4. Is all or part of the expenditure authorization request supported by an increase in projected revenue? If yes, what is the funding source? Explain in detail.

YES X NO _____

Funding Source Candidate filing fees Anticipated Amount of Increased Revenue \$180,000

Explain in detail reason(s) increase occurred. Filing fees were received from candidates who filed to be placed on the ballot for the 2012 PPP.

If yes, select and attach appropriate documentation.

Contract _____

Proviso/Statute Number _____

Grant _____

Other _____

5. What program(s)/subprogram(s) and line item(s) are impacted by this request? (Add lines as needed). List program(s)/subprogram(s) by name not program number. N/A

| | | | | | |
|--------------------|------------------------|-----------|-------|--------|------------------|
| Program/Subprogram | <u>Pres. Pref Rmny</u> | Line Item | _____ | Amount | <u>\$180,000</u> |
| Program/Subprogram | _____ | Line Item | _____ | Amount | _____ |
| Program/Subprogram | _____ | Line Item | _____ | Amount | _____ |

TOTAL OF ALL LINES _____

6. Is this request for a recurring initiative or a one-time request?

Recurring Initiative _____

One-Time Request X

If this is a recurring initiative, has additional authority been requested in the budget cycle for the upcoming fiscal year? If so, what amount has been requested? What is the status of the request (recommended by Governor, WMC or SFC; or appropriated by House or Senate)?

YES _____

NO _____

Amount Requested _____

Status of Request _____

If this is a recurring initiative and additional authority has not been requested in the budget cycle for the upcoming fiscal year, how much needs to be added? Why has additional authority not been requested for the upcoming fiscal year?

Amount requested to be added for next fiscal year _____

Reason(s) authority has not been requested:

7. Are any FTEs being requested? If so, how many and what is the amount of personal service dollars?

YES _____

NO X

Number of FTEs _____

Personal Service Funds _____

8. Any additional information.

SIGNATURE

Marci Andino
Typed name of Authorized Representative

Executive Director
Title

Marci Andino
Signature of Authorized Representative

1/10/2012
Date Signed

Telephone: 853 734 9060

For SBD Use

Adjusted Other Fund Authorization 4,699,114

Request Percent Increase 3.83 %

YTD Other Fund Approved Increases 4,258,414

YTD Percent Increase 96.28 %

Amt. Requested 180,000

Date To Committee 1/19/12
Committee Recommendation:

Adjusted Other Fund Authorization _____

9/28/11

Tab 3

STATE BUDGET DIVISION
Request for Other Funds Authorization

AGENCY CODE: H03

AMOUNT REQUESTED: \$445,240

AGENCY NAME: Commission on Higher Education

Please complete the following:

1. Description of Request:

This authorization is needed to provide additional matching funds related to SmartState. The SmartState Program serves the public interest by creating incentives for the State's research universities. This increase reflects a revision to the request currently before the JOFOC in support of Clemson University's Sustainable Development Center of Economic Excellence. A recent contribution has increased the qualifying match by \$445,240, for a total of \$1,313,439.

2. Justification: (Why is additional authorization needed? What will be paid for with authorization? Explain the source of funding. Attach appropriate documentation.)

Historically, authorization levels for the Centers of Excellence Matching Endowment have been based on actual requests for funding of endowed chairs as matching requirements have been satisfied. This resulted in an agreement with the OSB to make incremental adjustments that reflect actual need rather than estimating a total that we could not effectively predict or control. This process has worked well in providing an accurate picture of the actual authorization level needed to support qualified centers per the enabling legislation.

The additional request of \$445,240 in concert with the previously requested \$868,199 will fully support the qualifying match of \$1,313,439 for the Sustainable Development Center of Economic Excellence at Clemson University currently.

3. Is all or part of the expenditure authorization supported by actual cash received and brought forward from the previous fiscal year? If yes, what is the funding source?

YES ☒ NO ☐

Funding Source: Centers of Excellence Matching Endowment

4. Is all or part of the expenditure authorization request supported by an increase in projected revenue? If yes, what is the funding source? Explain in detail.

YES ☐ NO ☒

Funding Source Anticipated Amount of Increased Revenue

Explain in detail reason(s) increase occurred.

If yes, select and attach appropriate documentation.

Contract

Proviso/Statute Number

Grant

Other

5. What program(s)/subprogram(s) and line item(s) are impacted by this request? (Add lines as needed)

Program/Subprogram N/A Line Item _____ Amount _____
Program/Subprogram _____ Line Item _____ Amount _____

TOTAL OF ALL LINES _____

6. Is this request for a recurring initiative or a one-time request?

Recurring Initiative X One-Time Request _____

If this is a recurring initiative, has additional authority been requested in the budget cycle for the upcoming fiscal year? If so, what amount has been requested? What is the status of the request (recommended by Governor, WMC or SFC; or appropriated by House or Senate)?

YES _____ NO X

Amount Requested _____ Status of Request _____

If this is a recurring initiative and additional authority has not been requested in the budget cycle for the upcoming fiscal year, how much needs to be added? Why has additional authority not been requested for the upcoming fiscal year?

Amount requested to be added for next fiscal year _____

Reason(s) authority has not been requested: Funding from the Centers of Excellence Matching Endowment can not be included in the annual appropriation request.

7. Are any FTEs being requested? If so, how many and what is the amount of personal service dollars?

YES _____ NO X

Number of FTEs _____ Personal Service Funds _____

8. Any additional information.

SIGNATURE

Gary S. Glenn
Typed name of Authorized Representative

Director Finance, Facilities, & MIS
Title

Gary S. Glenn
Signature of Authorized Representative

11-26-2011
Date Signed

For SBD Use

Adjusted Other Fund Authorization 12,367,383
Percent Increase 3.62 %
YTD Other Fund Approved Increases 8929,791
YTD Percent Increase 172.32 %

Date To Committee 1/19/12
Committee Recommendation: _____

Request 145,240

Adjusted Other Fund Authorization _____

State Budget Division
Request for Other Funds Authorization
Cash Balances

Agency Code: H03

Agency Name: Commission on Higher Education

Amount Requested : 445,240.00

Year End Cash Balance

(List for each account and attach copy of **Available Cash by Fiscal Year by Fund**)

Account Lottery Endowed Chairs Match (47C8) \$52,598,129.81

Account

Current Cash Balance (List for each account)

(List for each account and attach copy of **Available Cash by Fiscal Year by Fund**)

Account Lottery Endowed Chairs Match (47C8) \$55,595,264.39

Account



CHE is requesting authorization of \$445,240 for the SC SmartState Program in order to release previously appropriated lottery funds.

Between FY03-08, the General Assembly appropriated \$180 million (\$30 million annually) from lottery funds. To date, the SmartState Review Board has approved \$194.6 million for Centers. \$165.8 million (inclusive of the present request) in lottery funds have been drawn to date; matched by an equal amount of non-state investment.

The State funds for SmartState Centers are held by the Treasurer's Office in a State trust account with interest accrued available, by statute, for awards. Because of the nature of the program and trust account, these funds are not built in to CHE's annual appropriation and are considered "other funds."

SmartState may seek drawdown authorization, which requires consideration of the Joint Other Funds Oversight Committee, once an equal amount (\$1-for-\$1) in non-state match investment is met and confirmed.

The current request involves one of the Centers of Economic Excellence (Centers) that has non-state match in-hand: Clemson's Sustainable Development (request of \$445,240). See attached summary for additional details about the Center.

About SmartState – www.smartstatesc.org

SmartState (formerly known as CoEE or "Endowed Chairs") was started by the General Assembly in 2002 with the passage of the SC Research Centers of Economic Excellence Act in order to promote growth of the state's knowledge-based economy.

The state's public research institutions, MUSC, Clemson, and USC use state funds to create Centers led by endowed chairs who are world-renowned researchers, scientists, and engineers.

48 Centers exist in areas such as biomedicine, automotive engineering, future fuels, nanotechnology and advance materials. 19 are collaborations involving multiple institutions. To date, 41 of 86 endowed chairs for the approved Centers have been named.

SmartState is overseen by the 11-member Review Board appointed by the Governor and Senate and House leadership. CHE approves the Review Board's budget for operations and staffs the program. By statute, SmartState receives annually an external audit.

Centers, which may be awarded from \$2 million to \$5 million in state funds to be matched \$1-for-\$1 with non-state investment, are approved through a competitive process that, by statute, includes technical and scientific reviews, on-site evaluations conducted by out-of-state experts, and a presentation of findings with recommended funding levels to the Review Board.

Once approved, each Center has an 18-month period in which the non-state match must be pledged and 78 months from the approval date to receive the non-state match and draw the matched state funds.

In SmartState, **SC has created a national model** for keeping the state at the forefront of global innovation and economic growth. The Advisory Group at Huron conducted a comprehensive review of the program from 2003-2008 and called the program the **best of its kind in the nation.**

SmartState has **created \$1.2 BILLION** in external investment in the state, along with **nearly 6,900 jobs.** Overall, a **6:1 return on State investment.**

Several hundred companies and organizations have invested in SmartState including, for example, BMW (\$11 million); J.E. Sirrine Textile (\$5.8 million), BlueCross (\$5.1 million), Smith & Nephew (\$5 million); Duke Endowment (\$21 million), Health Sciences South Carolina (\$6.6 million), and Wyss Foundation (\$2 million).

To date, SmartState has led to the **creation of 16 startup companies** and the **relocation of at least 15 major companies to SC,** including American Titanium Works, SAGE and Proterra (Upstate) and Trulite (Midlands).

Under SmartState, **43 patents (U.S. and International) issued, more than 61 licenses and options executed and landmark research studies,** including, for example, one published recently in the New England Journal of Medicine that is revolutionizing the way the medical world treats stroke victims.

OTHER FUNDS REQUEST: CHE is requesting authorization of \$445,240 for the SC SmartState Program (www.smartstatesc.org) in order to release previously appropriated lottery funds (considered “other funds”) that are held in trust and cannot be released until a \$1-for-\$1 non-state match requirement is met.

The request involves funds for Clemson University’s Sustainable Development Center of Economic Excellence that has received the non-state match and is requesting the drawdown of the state match. A description of the Center follows.

Sustainable Development Center of Economic Excellence

Clemson University

Other Funds Request: \$445,240

Center Approved: June 2010

State Award Level: \$4,000,000

(Access to the State Award requires an equal amount of non-state matching investment.)

As of January 2012, the Center has \$4,000,000 in non-state match pledged and has received pledges, inclusive of the current other funds request, to enable a drawdown of state funds of \$3,347,638. The Joint Other Funds Oversight Committee considered and approved previously (in November) a request from this Center. At that time it was noted, that Center had received additional matching funds and an additional request would be forthcoming for consideration.

Sample of non-state matching investment:

National Science Foundation, Private donor families (alumni)

About the Center:

The Center’s mission is to advance sustainable development through technological innovation. This includes the development of new technologies, from optically-based chemical sensors to wireless networking platforms, as well as the development of new environmental and ecological models designed to support real-time monitoring and management of natural and built environments.

The Center will build on the success of the Intelligent River Project, which focuses on the development of hardware, software, and modeling infrastructure to support real-time management of water resources across South Carolina. The Intelligent River Project recently created a wireless sensor, called a “Mote stack”, that can monitor and transmit environmental information and the corresponding software to make the data available online in real time. The wireless monitoring techniques are so useful that researchers are exploring other uses for them including Intelligent Farms, where farmers might use computers to learn which sections of fields need tending; and Intelligent Bricks, which would allow internal conditions of buildings to be monitored. Currently Center researchers are working to create a real-time map of the Savannah River. More than 75 Clemson faculty members and students in areas such as wetland ecology, hydrology, landscape architecture, computer sciences, computer engineering, and environmental toxicology are collaborating on this project.

SmartState Endowed Chair:

Clemson is actively recruiting Thomas F. Hash ’69, for the Chair in Sustainable Development

Tab 4

STATE BUDGET DIVISION
Request for Other Funds Authorization

AGENCY CODE: H750

AMOUNT REQUESTED: \$34,477

AGENCY NAME: SC School for the Deaf and the Blind

Please complete the following:

1. Description of Request: Authorization needed to be able to spend the above amount for agency purposes that were the result of private donations to the agency for agency mission.

2. Justification: *(Why is additional authorization needed? What will be paid for with authorization? Explain the source of funding. Attach appropriate documentation.)* Monies are to be used for educational expenses (Program 851). Funding was private donations. See line 8 for additional information.

3. Is all or part of the expenditure authorization supported by actual cash received and brought forward from the previous fiscal year? If yes, what is the funding source?

YES _____ NO X

Funding Source: _____

4. Is all or part of the expenditure authorization request supported by an increase in projected revenue? If yes, what is the funding source? Explain in detail.

YES X NO _____

Funding Source other Anticipated Amount of Increased Revenue 34,477

Explain in detail reason(s) increase occurred.

The agency is the beneficiary of charitable contributions and or estates.

If yes, select and attach appropriate documentation.

Contract _____ Proviso/Statute Number _____

Grant _____ Other X

5. What program(s)/subprogram(s) and line item(s) are impacted by this request? (Add lines as needed). List program(s)/subprogram(s) by name not program number.

| | | | | | |
|--------------------|-----------------------|-----------|----------------------|--------|---------------|
| Program/Subprogram | <u>Deaf Education</u> | Line Item | <u>1300 02050000</u> | Amount | <u>34,477</u> |
| Program/Subprogram | _____ | Line Item | _____ | Amount | _____ |
| Program/Subprogram | _____ | Line Item | _____ | Amount | _____ |

TOTAL OF ALL LINES 34,477

6. Is this request for a recurring initiative or a one-time request?

Recurring Initiative _____

One-Time Request X

If this is a recurring initiative, has additional authority been requested in the budget cycle for the upcoming fiscal year? If so, what amount has been requested? What is the status of the request (recommended by Governor, WMC or SFC; or appropriated by House or Senate)?

YES _____

NO _____

Amount Requested _____

Status of Request _____

If this is a recurring initiative and additional authority has not been requested in the budget cycle for the upcoming fiscal year, how much needs to be added? Why has additional authority not been requested for the upcoming fiscal year?

Amount requested to be added for next fiscal year _____

Reason(s) authority has not been requested:

7. Are any FTEs being requested? If so, how many and what is the amount of personal service dollars?

YES _____

NO X

Number of FTEs _____

Personal Service Funds _____

8. Any additional information. Funds are to be used to outfit new cochlear implant program. Specifically equipment can now be purchased to augment classrooms with needed amplifications and auditory assistive equipment for students enrolled in the program.

SIGNATURE

Teresa Wagner
Typed name of Authorized Representative

Budget Analyst
Title

Lew Wagner
Signature of Authorized Representative

12/16/11
Date Signed

Telephone: 864-577-7587

For SBD Use

Adjusted Other Fund Authorization 16,785,629

Request Amount 34,477

Request Percent Increase 0.21 %

YTD Other Fund Approved Increases 16,28,629

YTD Percent Increase 10.75 %

Date To Committee 1/19/12

Committee Recommendation:

Adjusted Other Fund Authorization

9/28/11

Tab 5

STATE BUDGET DIVISION
Request for Other Funds Authorization

AGENCY CODE: P24

AMOUNT REQUESTED: \$984,955.00

AGENCY NAME: Department of Natural Resources

Please complete the following:

1. Description of Request: County Water Recreation Resources Projects (Document #1000109820)

2. Justification: *(Why is additional authorization needed? What will be paid for with authorization? Explain the source of funding. Attach appropriate documentation.)*

The following projects approved by county delegations are complete, nearing completion or are anticipated having some phase completed before the end of the fiscal year and payment will be due.

Dillon: \$35,000 (Pier-in process)

Horry: \$212,500 (3 Boat Landings-in process)

Florence: \$220,000 (Jeffries Creek-in process)

York: \$50,000 (Boat Ramp-in process)

Pickens/Greenville: \$467,455 (Saluda Lake-complete)

Water Resource Recreation Fund (3285) is the source of funding for these projects.

3. Is all or part of the expenditure authorization supported by actual cash received and brought forward from the previous fiscal year? If yes, what is the funding source?

☐ YES

☐ NO

Funding Source: Portion of gasoline tax allocated for Water Recreation Resource fund [12-28-2730(A)] brought forward from the prior fiscal year.

4. Is all or part of the expenditure authorization request supported by an increase in projected revenue? If yes, what is the funding source? Explain in detail.

YES

☐ NO

Funding Source: Anticipated Amount of Increased Revenue

Explain in detail reason(s) increase occurred.

If yes, select and attach appropriate documentation.

Contract

Proviso/Statute Number

Grant

Other

RECEIVED

JAN 04 2012

Budget
OFFICE OF STATE BUDGET

5. What program(s)/subprogram(s) and line item(s) are impacted by this request? (Add lines as needed). List program(s)/subprogram(s) by name not program number.

| Program/Subprogram | Line Item | Amount |
|-------------------------------------|----------------------------|-----------|
| II.D.2.County Water Recreation Fund | 1201-Other Operating | \$467,455 |
| II.D.2.County Water Recreation Fund | 1721-Allocation County-Res | \$517,500 |

6. Is this request for a recurring initiative or a one-time request?

Recurring Initiative

☒ One-Time Request

If this is a recurring initiative, has additional authority been requested in the budget cycle for the upcoming fiscal year? If so, what amount has been requested? What is the status of the request (recommended by Governor, WMC or SFC; or appropriated by House or Senate)?

YES

☒ NO

Amount Requested Status of Request:

If this is a recurring initiative and additional authority has not been requested in the budget cycle for the upcoming fiscal year, how much needs to be added? Why has additional authority not been requested for the upcoming fiscal year?

Amount requested to be added for next fiscal year

Reason(s) authority has not been requested:

7. Are any FTEs being requested? If so, how many and what is the amount of personal service dollars?

YES

☒ NO

Number of FTEs

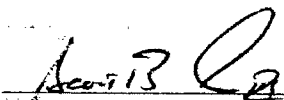
Personal Service Funds

8. Any additional information.

SIGNATURE

Scott Speares / Asst Deputy Director – Outreach & Support Services

Typed name of Authorized Representative Title



Signature of Authorized Representative Date Signed

Telephone: 803-734-3624

6. Is this request for a recurring initiative or a one-time request?

Recurring Initiative _____

One-Time Request _____

If this is a recurring initiative, has additional authority been requested in the budget cycle for the upcoming fiscal year? If so, what amount has been requested? What is the status of the request (recommended by Governor, WMC or SFC; or appropriated by House or Senate)?

YES _____

NO _____

Amount Requested _____

Status of Request _____

If this is a recurring initiative and additional authority has not been requested in the budget cycle for the upcoming fiscal year, how much needs to be added? Why has additional authority not been requested for the upcoming fiscal year?

Amount requested to be added for next fiscal year _____

Reason(s) authority has not been requested:

7. Are any FTEs being requested? If so, how many and what is the amount of personal service dollars?

YES _____

NO _____

Number of FTEs _____

Personal Service Funds _____

8. Any additional information.

SIGNATURE

Typed name of Authorized Representative _____

Title _____

Signature of Authorized Representative _____

Date Signed _____

Telephone: _____

For SBD Use

Adjusted Other Fund Authorization 40,382,342

Request Percent Increase 2.54 %

YTD Other Fund Approved Increases 3,363,228

YTD Percent Increase 8.54 %

Request Amount 984,955

Date To Committee 1/19/12
Committee Recommendation:

Adjusted Other Fund Authorization _____

9/28/11

Tab 6

January 2012

| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
|--------|---------|---------|-----------|----------|--------|----------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | Holiday | | | | | |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| | Holiday | | | | | |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 | | | | |

February 2012

| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
|--------|---------|---------|-----------|----------|--------|----------|
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| | Holiday | | | | | |
| 26 | 27 | 28 | 29 | | | |

March 2012

| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
|--------|--------|---------|-----------|----------|--------|----------|
| | | | 1 | 2 | 3 | |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | 31 |

April 2012

| Sunday | Monday | Tuesday | Wednesday | Thursday | Friday | Saturday |
|--------|--------|---------|-----------|----------|--------|----------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | | | | | |